

A circular ink stamp from the U.S. Patent & Trademark Office. The outer ring of the stamp contains the text "U.S. PATENT & TRADEMARK OFFICE" at the top and "WASHINGTON, D.C." at the bottom. In the center of the stamp, the date "JUL 06 1998" is stamped. The stamp is slightly faded and has some texture.



⁴Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/057,150	04/07/98	CLARY	D 2337187

LYON & LYON
623 WEST FIFTH STREET
SUITE 4700
LOS ANGELES CA 90071-2066

NOT ASSIGNED

1643

DATE MAILED:

05/12/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 0.00

- ☒ 1. The statutory basic filing fee is:
- ☒ missing.
 - ☐ insufficient.

Applicant must submit \$ 750.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27)

- ☒ 2. Additional claim fees of \$ 132.00, including any multiple dependent claim fees, are required.
\$ _____ for _____ independent claims over 3.
\$ 132.00 for 1 dependent claims over 20.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
- ☐ is missing or unexecuted.
 - ☒ does not cover the newly submitted items.
 - ☒ does not identify the application to which it applies.
 - ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42
1.43 or 1.47.

~~A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.~~

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☒ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m))
- ☒ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☒ 8. The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules" 37 CFR 1.821-1.825.

☒ 9 OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

ARTIS 00000024 0905730



Patent
233/187

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:) Group Art Unit 1643
)
Douglas Clary)
)
Serial No. 09/057,150)
)
Filed: April 7, 1998)
)
For: METHODS OF EVALUATING)
SPECIFIC CELLULAR FUNCTIONS OF)
RECEPTOR PROTEIN TYROSINE)
KINASES IN A LIGAND)
INDEPENDENT MANNER)

**SUBMISSION OF MISSING PARTS AND RELATED
APPLICATION PAPERS**

Attention: BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR §1.53(d)**,
which was mailed on May 12, 1998, enclosed are:

- ☒ Combined Declaration and Power of Attorney (Original)
- ☒ Recordation Form Cover Sheet (Patents Only)
- ☒ Assignment of the Invention to SUGEN, INC.,
- ☐ A Verified Statement to establish "SMALL ENTITY STATUS" under 37 CFR 1.9(f) and 1.27(b).
- ☐ Statement under 37 CFR §1.821
- ☐ Sequence Listing with Diskette

1. REQUEST FOR EXTENSION OF TIME:

- ☐ Applicant requests an **Extension of Time** of month to respond; the requisite fee has been
calculated as shown below:

		Small Entity	Large Entity
<input type="checkbox"/>	1 month	\$ 55.00	\$ 110.00
<input type="checkbox"/>	2 months	\$ 200.00	\$ 400.00
<input type="checkbox"/>	3 months	\$ 475.00	\$ 950.00
<input type="checkbox"/>	4 months	\$ 755.00	\$ 1510.00
	Total Fee Due	\$0	\$0

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231.

Dated: July 1, 1998

By: 
Robert Wickman

2. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:
BASIC FILING FEE

\$790.00

Total Claims: 26 - (20) = 6 x \$ 22 (extra fee charge) = \$132.00

Independent Claims: 3 - (3) = 0 x \$ 82 (extra fee charge) = \$0.00

Multiple Dependent Claims \$270 (if applicable) = \$0.00

Surcharge under 37 CFR 1.16(e) \$130.00

Extension of Time Fee (month) \$0.00

TOTAL OF ABOVE CALCULATIONS \$1052.00

Reduction by 1/2 for Filing by **SMALL ENTITY**. Note 37 CFR 1.9, 1.27, 1.28.
If application, Verified Statement must be attached \$0.00

Miscel. Filing Fees (Recordation of Assignment) \$40.00

TOTAL FEES \$1092.00

3. METHOD OF PAYMENT OF FEES

 X A check in the amount of \$1092.00
 Charge Deposit Account No. 12-2475 in the amount of \$. A duplicate copy of this
Transmittal is enclosed.

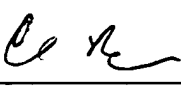
4. AUTHORIZATION TO CHARGE FEES TO DEPOSIT ACCOUNT 12-2475

 X The Commissioner is authorized to charge Counsel's Deposit Account No. 12-2475 for any fees
required under 37 CFR 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check
enclosed herewith and to credit any overpayments to said Deposit Account 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: 6-25-98

By 
Charles S. Berkman
Reg. No. 38,077

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